#### FORM D

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

### Washington, D.C. 20549

#### FORM D

# NOTICE OF SALE OF SECURITIES PURSUANT TO REGULATION D, SECTION 4(6), AND/OR UNIFORM LIMITED OFFERING EXEMPTION

/	l	6	7 l	9	4	
OMB ADDDOMAL						

OMB APPROVAL

OMB Number: 3235-0076 Expires: April 30, 2008

Expires: April 30, 200 Estimated average burden hours per response ......16.00



Name of Offering (Scheck if this is an amendment and name has changed, and indicate change.) Series E Preferred Stock Financing	
Filing Under (Check box(es) that apply): Rule 504 Rule 505 Rule 506 Section 4(6) Type of Filing: New Filing Amendment	ULOE
A. BASIC IDENTIFICATION DATA	07081208
1. Enter the information requested about the issuer	
Name of Issuer ( check if this is an amendment and name has changed, and indicate change.) Fluidigm Corporation	
Address of Executive Offices (Number and Street, City, State, Zip Code) 7000 Shoreline Court, Suite 100, South San Francisco, CA 94080	Telephone Number (Including Area Code) 650-266-6600
Address of Principal Business Operations (Number and Street, City, State, Zip Code) (if different from Executive Offices)	Telephone Number (including Area Code)
Brief Description of Business DNA cell sizing and sorting systems	
Type of Business Organization	PROCESSED—
	lease specify): OCT 2 9 2007
Month Year  Actual or Estimated Date of Incorporation or Organization: 05 99 Actual Estim  Jurisdiction of Incorporation or Organization: (Enter two-letter U.S. Postal Service abbreviation for State:  CN for Canada; FN for other foreign jurisdiction)	THOMSON FINANCIAL  DE

#### GENERAL INSTRUCTIONS

#### Federal

Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 et seq. or 15 U.S.C. 77d(6).

When To File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address.

Where To File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549.

Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the Appendix need not be filed with the SEC.

Filing Fee: There is no federal filing fee.

#### State:

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix to the notice constitutes a part of this notice and must be completed.

#### - ATTENTION -

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predictated on the filing of a federal notice.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

1 of 13

		equested for the f		<del>-</del>	rithin the past five years;			
	•	, i		Ü	•	10% or more of s	clace	of equity securities of the issuer.
		· ·		•	corporate general and man			
		managing partner	-		corporate general and main	aging paraters or j	parare	iomp issuers, and
	(es) that Apply:	Promoter	_	Beneficial Owner	Executive Officer	□ Director		General and/or Managing Partner
	Last name first, if on, Gajus V.	individual)						
				City, State, Zip Co ve, Suite 100, Sou	de) ith San Francisco, CA	94080		
Check Box	(es) that Apply:	Promoter	$\boxtimes$	Beneficial Owner	Executive Officer	Director		General and/or Managing Partner
	(Last name first, D, Stephen R.	if individual)						<del></del>
				City, State, Zip Co niversity, James l	de) H. Clark Center, E300,	318 Campus D	rive,	Stanford, CA 94305
Check Box	(es) that Apply:	Promoter	$\boxtimes$	Beneficial Owner	Executive Officer	□ Director		General and/or Managing Partner
Full Name Burrows, I	(Last name first, Bruce	if individual)						
		ess (Number and S Clarita, CA 9138		City, State, Zip Co 48	de)			
Check Box	(es) that Apply:	Promoter		Beneficial Owner	Executive Officer	Director		General and/or Managing Partner
Full Name ( Colella, Sa	(Last name first, i amuel	f individual)	•					
		•		City, State, Zip Co uilding 4, Suite 2	de) 10, Menlo Park, CA 94	025		
Check Box(	(es) that Apply:	Promoter		Beneficial Owner	Executive Officer	□ Director		General and/or Managing Partner
Full Name ( Hsu, Hing	(Last name first, i ge	f individual)						
		ess (Number and S loor, Jersey City		City, State, Zip Co 07302	de)			
Check Box(	(es) that Apply:	Promoter		Beneficial Owner	Executive Officer	□ Director		General and/or Managing Partner
	Last name first, i er, Michael W.	f individual)						
				City, State, Zip Coo Floor, Palo Alto,				
Check Box(	es) that Apply:	Promoter		Beneficial Owner	Executive Officer	□ Director		General and/or Managing Partner
Full Name ( Jones, Elai	Last name first, i ine V	f individual)						
				City, State, Zip Coo, New York, NY				

2. Enter the information	•	-			
		ssuer has been organized v	•	f 100% on more of	a class of equity securities of the issuer.
			-		• •
		of partnership issuers.	corporate general and mar	aging partners of	partitership issuers, and
Check Box(es) that Apply	Promoter	Beneficial Owner	Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first Nussbacher, Kenneth	, if individual)				
Business or Residence Ad 15881 Glen Una Drive			ode)		
Check Box(es) that Apply	: Promoter	Beneficial Owner	Executive Officer	□ Director	General and/or Managing Partner
Full Name (Last name firs Smith, William M.	t, if individual)				
Business or Residence Ad c/o Fluidigm Corporati				94080	
Check Box(es) that Apply	: Promoter	Beneficial Owner	Executive Officer	Director	General and/or Managing Partner
Full Name (Last name firs Torres, Ed	t, if individual)				
Business or Residence Ad c/o Eli Lilly and Compa					
Check Box(es) that Apply	Promoter	Beneficial Owner	Executive Officer	□ Director	General and/or Managing Partner
Full Name (Last name firs Young, John A.	t, if individual)				
Business or Residence Ad c/o PageMill Investors,				055	
Check Box(es) that Apply	Promoter	Beneficial Owner	Executive Officer	Director	General and/or Managing Partner
Full Name (Last name firs Jones, Robert C.	t, if individual)				
Business or Residence Ad- c/o Fluidigm Corporation	· ·		•	94080	
Check Box(es) that Apply	Promoter	Beneficial Owner	Executive Officer	☐ Director	General and/or Managing Partner
Full Name (Last name firs Lucero, Michael Y.	t, if individual)				
Business or Residence Add c/o Fluidigm Corporation				94080	
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	Director	General and/or Managing Partner
Full Name (Last name first Yow, Grace					
Business or Residence Ado c/o Fluidigm Corporation				94080	

<ul> <li>Enter the information requested for the following:</li> <li>Each promoter of the issuer, if the issuer has been organized within the past five years;</li> </ul>	
<ul> <li>Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a</li> </ul>	class of equity securities of the issuer.
Each executive officer and director of corporate issuers and of corporate general and managing partners of p	
<ul> <li>Each general and managing partner of partnership issuers.</li> </ul>	
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director	General and/or Managing Partner
Full Name (Last name first, if individual) EuclidSR Biotechnology Partners, L.P.	
Business or Residence Address (Number and Street, City, State, Zip Code) 45 Rockefeller Center, Suite 3240, New York, NY 10111	
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director	General and/or Managing Partner
Full Name (Last name first, if individual) EuclidSR Partners, L.P.	
Business or Residence Address (Number and Street, City, State, Zip Code) 45 Rockefeller Center, Suite 3240, New York, NY 10111	
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director	General and/or Managing Partner
Full Name (Last name first, if individual) Lehman Brothers Healthcare Venture Capital, L.P.	
Business or Residence Address (Number and Street, City, State, Zip Code) 399 Park Avenue, 9th Floor, New York, NY 10022	,
Check Box(es) that Apply:  Promoter Beneficial Owner Executive Officer Director	General and/or Managing Partner
Full Name (Last name first, if individual) Lehman Brothers P.A., LLC	
Business or Residence Address (Number and Street, City, State, Zip Code) 399 Park Avenue, 9th Floor, New York, NY 10022	
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director	General and/or Managing Partner
Full Name (Last name first, if individual) Lehman Brothers Partnership Account 2000/2001, L.P.	
Business or Residence Address (Number and Street, City, State, Zip Code) 399 Park Avenue, 9th Floor, New York, NY 10022	
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director	General and/or Managing Partner
Full Name (Last name first, if individual) Lehman Brothers Offshore Partnership Account 2000/2001, L.P.	
Business or Residence Address (Number and Street, City, State, Zip Code) 899 Park Avenue, 9th Floor, New York, NY 10022	
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director	General and/or Managing Partner
Full Name (Last name first, if individual) Lilly Bio Ventures, Eli Lilly and Company	
Business or Residence Address (Number and Street, City, State, Zip Code)  2/0 Lilly Ventures, Lilly Corporate Center, Indianapolis, IN 46285	

<ul><li>Enter the information</li><li>Each promoter of</li></ul>	-	ollowing: suer has been organized v	within the past five years:			
<u>-</u>		•	•	f, 10% or more of	a class	of equity securities of the issuer.
		•	corporate general and man			
		of partnership issuers.		Ç J.	•	•
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	Director		General and/or Managing Partner
Full Name (Last name first, i Biomedical Sciences Inv	•	e Ltd.		•		
Business or Residence Add 20 Biopolis Way, #09-01			ode)			**************************************
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	Director		General and/or Managing Partner
Full Name (Last name first, Invus, L.P.	if individual)					***************************************
Business or Residence Address East 57th Street, Nev	ress (Number and V York, NY 1002	Street, City, State, Zip Co 22	de)			
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	Director		General and/or Managing Partner
Full Name (Last name first, Alloy Partners, L.P.	if individual)					
Business or Residence Adda 400 Hamilton Avenue, 4			de)			
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	☐ Director		General and/or Managing Partner
Full Name (Last name first, Alloy Ventures 2002, L.)						
Business or Residence Addition 400 Hamilton Avenue, 4			de)			
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	☐ Director		General and/or Managing Partner
Full Name (Last name first, Alloy Ventures 2005, L.I	• • •					
Business or Residence Addr 400 Hamilton Avenue, 4			de)			
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	☐ Director		General and/or Managing Partner
Full Name (Last name first, AllianceBernstein Ventu	re Fund I, Ĺ.P.					
Business or Residence Addr 1345 Avenue of the Ame			de)			
Check Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	Director		General and/or Managing Partner
Full Name (Last name first, Cross Creek Capital, L.P	(PACO c/o 80-					
Business or Residence Addr 150 Social Hall Avenue,	ess (Number and S 4 <sup>th</sup> Floor, Salt La	Street, City, State, Zip Co ake City, UT 84111	de)			

	A. BASIC IDENTIFICATION DATA						
2. 1	Enter the information i	requested for the	following:				
•	• Each promoter of	the issuer, if the is	suer has been organized v	vithin the past five years;			
•	Each beneficial ow	ner having the pow	er to vote or dispose, or din	ect the vote or disposition o	f, 10% or more of	a class of equity securities of the issuer.	
•	Each executive of	ficer and director of	of corporate issuers and of	corporate general and man	naging partners of	partnership issuers; and	
•	Each general and	managing partner	of partnership issuers.				
Check	Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	Director	General and/or Managing Partner	
	lame (Last name first, i s Creek Capital Emp	•	.P. (PACO c/o 80-16-2	200-1037670)			
			Street, City, State, Zip Co Lake City, UT 84111	ode)			
Check	Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	Director	General and/or Managing Partner	
	lame (Last name first, tch Funds, Inc. (Cle						
			Street, City, State, Zip Co Lake City, UT 84111	de)			
Check	Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	Director	General and/or Managing Partner	
	lame (Last name first, LLCAP World Fun		bay & Co)				
	ess or Residence Addr South State College		Street, City, State, Zip Co 92821	de)			
Check	Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	Director	General and/or Managing Partner	
	lame (Last name first, ity Contrafund: Fide	,	w Insights Fund				
	ess or Residence Addr Federal Street, Bosto		Street, City, State, Zip Co	de)			
Check	Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	Director	General and/or Managing Partner	
	ame (Last name first, ity Contrafund: Fide						
	ess or Residence Addreses or Residence Addre		Street, City, State, Zip Co	de)		,	
Check	Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	Director	General and/or Managing Partner	
	ame (Last name first, ble Insurance Produ		ntrafund Portfolio				
	ess or Residence Addre Federal Street, Bosto		Street, City, State, Zip Co	de)			
Check	Box(es) that Apply:	Promoter	Beneficial Owner	Executive Officer	Director	General and/or Managing Partner	
Full N	ame (Last name first,	if individual)	_				
Busine	ess or Residence Addre	ess (Number and S	Street, City, State, Zip Co.	de)		***************************************	

					B, 11	NFORMAT	TION ABOU	UT OFFER	UNG				
L								·				Yes	No
1.	Has th	e issuer sol	d, or does th	e issuer inte	end to sell,	to non-accre	edited invest	tors in this o	offering?		•••••		$\boxtimes$
	Answer also in Appendix, Column 2, if filing under ULOE.												
2.	2. What is the minimum investment that will be accepted from any individual?									•••••	\$ 0.00 Yes	No No	
3.	Does t	he offering	permit joint	ownershin	of a single	unit?							
4.	Enter	the informa	ition request	ted for each	h person w	ho has been	n or will be	paid or gi	ven, directi	ly or indire	ctly, any		
			nilar remune isted is an as										
	or state	es, list the r	name of the l , you may se	broker or d	ealer. If mo	re than five	(5) persons	to be listed					
Full			first, if indiv		mitorination	ioi mai ore	rect of deale	a omy.					
Lee	rink Sy	wann & Co	ompany										
			Address (No 37 <sup>th</sup> Floor, 1			, State, Zip	Code)						
			roker or Dea		.,								•
State	es in W	hich Person	Listed Has	Solicited o	r Intends to	Solicit Pur	hasers			_		_ <del></del>	
out			ites" or chec			bonen i un	Jild3Cl3						All States
ı	AL)	AK	AZ]	AR	CA)	co	[CT]	DE	DC	FL	GΑ	 用	
		IN			$\vdash$	닐		DE	닏	<u> </u>	MN]	لسيا	띨
	IL .	<u>  </u>	IA	KS	KY]	LA	ME	MD		MI	므	MS	МО
	MT	NE	vv	NH	NJ	NM	VY	NC	ND	ОН	bκ	OR	PA
ļ	RI	sc	SD	TN	TX	υr	VT	VA	WA	wv	WI	WY	PR
Full n/a	Name (	(Last name	first, if indiv	vidual)									
Bus	iness or	Residence	Address (Nu	umber and	Street, City,	, State, Zip	Code)						
n/a Nam	ne of As	ssociated R	oker or Dea	ler		<del></del> -					<del></del>	<del></del>	
n/a	ic or As												· <del></del> · -
State	es in W	hich Person	Listed Has	Solicited or	r Intends to	Solicit Puro	chasers						
	(Ch	eck "All Sta	ites" or check	k individua	l States)							D	All States
[	AL	AK	ΑZ	AR	CA	co	СТ	DE	DC	FL	GA	HI	ID
[	IL	IN	IA	KS	KY	LA	МE	MD	MA	MI	MN	MS	МО
	MT	NE	٧V	ИН	NJ	NM	٧Y	NC	ND	ОН	рκ	OR	PA
[	RI	SC	SD	TN	TX	UT	VT	VA	WA	Wν	WI	WY	PR
Full	Name (	Last name	first, if indiv	ridual)			_	_	_	<del></del>		_	
n/a				_		~				_			
Busi n/a	iness or	Residence	Address (Nu	imber and S	Street, City,	State, Zip (	Code)						
	ne of As	ssociated Br	oker or Dea	ler						_			•
	n/a States in Which Person Listed Has Solicited or Intends to Solicit Purchasers												
	(Che	eck "All Sta	tes" or checl	k individual								🔲 A	All States
L	AL	AK	AZ	AR	CA	СО	CT	DE	DC	FL	GA	HI	Œ
[	IL	IN	ĪĀ	KS	KY	LA	МЕ	MD	МА	MI	MN	MS	МО
	мт	NE	WV	NH	NJ	NM	νΥ	NC	ND	ОН	рκ	OR	PA
	RI	SC	SD	TN	TX	UT	VT	VA	WA	wv	wı	WY	PR

#### C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AND USE OF PROCEEDS

ι.	sold. Enter "0" if the answer is "none" or "zero." If the transaction is an exchange offering, check this box and indicate in the columns below the amounts of the securities offered for exchange and already exchanged.			
	Type of Security	Aggregate Offering Price		Amount Already Sold
	Debt\$		\$	0
	Equity\$	29,500,000.00	\$	25,565,000.00
	☐ Common ☒ Preferred			· · · · · ·
	Convertible Securities (including warrants)\$		\$	
	Partnership Interests\$		\$	
	Other (Specify)		\$	
	Total\$	29,500,000.00	\$	25,565,000.00
	Answer also in Appendix, Column 3, if filing under ULOE.			
2.	Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."			
		Number Investors		Aggregate Dollar Amount of Purchases
	Accredited Investors	5	9	\$ 25,565,000.00
	Non-accredited Investors		5	\$
	Total (for filings under Rule 504 only)		5	S
	Answer also in Appendix, Column 4, if filing under ULOE.			
3.	If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C — Question 1.			
	Type of Offering	Type of Security		Dollar Amount Sold
	Rule 505		5	\$
	Regulation A		9	S
	Rule 504		9	<u> </u>
	Total	0	9	0
4	a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the insurer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.			
	Transfer Agent's Fees		\$	
	Printing and Engraving Costs		\$	
	Legal Fees	🛛	\$	25,000.00
	Accounting Fees		\$	
	Engineering Fees	_	\$	
	Sales Commissions (specify finders' fees separately)		\$	
	Other Expenses (identify)		\$	
	Total		\$	25,000.00

American LegalNet, Inc. www.USCourtForms.com

L	C. OFFERING PRIC	E, NUMBER OF INVESTORS, EXPENSES A	AND USE OF PROCEEDS	
	and total expenses furnished in response to Pa	ate offering price given in response to Part C – art C — Question 4.a. This difference is the "a	adjusted gross	\$_29,475,000.00
5.	each of the purposes shown. If the amoun	tross proceed to the issuer used or proposed to the for any purpose is not known, furnish an e total of the payments listed must equal the a to Part C — Question 4.b above.	estimate and	
			Payments to Officers, Directors, & Affiliates	
	Salaries and fees		\$	_ 🗆 \$
	Purchase of real estate		s	_ 🗆 \$
	Purchase, rental or leasing and installation and equipment	of machinery		_ 🗆 \$
	Construction or leasing of plant buildings	and facilities	S	_ 🗆 \$
	Acquisition of other businesses (including offering that may be used in exchange for issuer pursuant to a merger)			_ 🗆 \$
			s	_ 🗆 s
	Column Totals	-	s	\$\frac{29,475,000.00}{29,475,000.00}
	Total Payments Listed (column totals adde	d)	🖂 \$	29,475,000.00
		D. FEDERAL SIGNATURE		·····
sig	e issuer has duly caused this notice to be signe nature constitutes an undertaking by the issue information furnished by the issuer to any no	er to furnish to the U.S. Securities and Excha	inge Commission, upon writi	
Iss	uer (Print or Type)	Signature	Date	
Flu	idigm Corporation	mens	October 18, 20	007
Na	me of Signer (Print or Type)	Title of Signer (Print or Type)	1	16.1 m
Wi	Illiam M. Smith	Corporate Secretary		

END

- ATTENTION -

Intentional misstatements or omissions of fact constitute federal criminal violations. (See 18 U.S.C. 1001.)